

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

*Submit an original, and a duplicate for fee processing.
(Only for Continuation or Divisional applications under 37 CFR 1.53(d))*

CHECK BOX, if applicable:
 DUPLICATE

Address to:

Assistant Commissioner for Patents
Box CPA
Washington, DC 20231

Attorney Docket No. of Prior Application	94-C-096C2
First Named Inventor	Kuei-Wu Huang, et al.
Examiner Name	
Group Art Unit	
Express Mail Label No.	EK742022111US

This is a request for a continuation or divisional application under 37 CFR 1.53(d),
(continued prosecution application (CPA)) of prior application number 09 / 517,987,
filed on March 3, 2000, entitled METHOD OF FORMING PLANARIZED STRUCTURES IN AN INTEGRATED CIRCUIT.

NOTES

FILING QUALIFICATIONS: The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. Effective May 29, 2000, a CPA may only be filed in a utility or a plant application if the prior nonprovisional application was filed before May 29, 2000. A CPA may be filed in a design application regardless of the filing date of the prior application. See "Request for Continued Examination Practice changes to and Provisional Application Practice," Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office (Apr. 11, 2000).

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar, information concerning, the other application or applications in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification; and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

1. Enter the unentered amendment previously filed on _____ under 37 CFR 1.116 in the prior nonprovisional application.
2. A preliminary amendment is enclosed.
3. This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
 - a. **DELETE** the following inventor(s) named in the prior nonprovisional application:
.....
.....
 - b. The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
5. Information Disclosure Statement (IDS) is enclosed:
 - a. PTO-1449
 - b. Copies of IDS Citations

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box CPA, Washington, DC 20231.

12/06/2001 STEFFERA 00000058 09517987

01 FC:131

740.00 DP

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

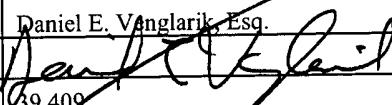
CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c) or (i))	20 -20* =	0	x \$ 18.00 =	\$ -0-
	INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))	3 -3** =	0	x \$ 84.00 =	-0-
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ _____ =	
				BASIC FEE (37 CFR 1.16)	\$740.00
				Total of above Calculations =	-0-
	Reduction by 50% for filing by small entity (Note 37 CFR 1.27).				
	* Reissue claims in excess of 20 and over original patent. ** Reissue Independent claims over original patent.			TOTAL =	740.00
6.	<input type="checkbox"/> Small entity status: Applicant claims small entity status. See 37 CFR 1.27.				
7.	The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. _____:				
a.	<input type="checkbox"/> Fees required under 37 CFR 1.16.				
b.	<input type="checkbox"/> Fees required under 37 CFR 1.17.				
c.	<input type="checkbox"/> Fees required under 37 CFR 1.18.				
8.	<input checked="" type="checkbox"/> A check in the amount of \$ 740.00 is enclosed.				
9.	<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.				
10.	<input type="checkbox"/> Applicant requests suspension of action under 37 CFR 1.103(b) for a period of _____ months (not to exceed 3 months) and the fee under 37 CFR 1.17(i) is enclosed.				
11.	<input checked="" type="checkbox"/> New Attorney Docket Number, if desired 94-C-096C3 <small>[Prior application Attorney Docket Number will carryover to this CPA unless a new Attorney Docket Number has been provided herein.]</small>				
12.	a. <input type="checkbox"/> Receipt For Facsimile Transmitted CPA (PTO/SB/29A) b. <input checked="" type="checkbox"/> Return Receipt Postcard (Should be specifically itemized, See MPEP 503)				
13.	Other: _____				

NOTE: The prior application's correspondence address will carry over to this CPA
UNLESS a new correspondence address is provided below.

14. NEW CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	30425	<input type="checkbox"/> or <input type="checkbox"/> New correspondence address below <small>(Insert Customer No. or Attach bar code label here)</small>			
Name	Lisa K. Jorgenson, Esq.				
	STMicroelectronics, Inc.				
Address	1310 Electronics Drive				
City	Carrollton	State	Texas	Zip Code	75006
Country	USA	Telephone	(972) 466-6856	Fax	(972) 466-7044

15. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print /Type)	Daniel E. Vanglarik, Esq.
Signature	
Registration No. (Attorney/Agent)	139,409
Date	11-19-01



12-03-01

CPA \$
2812DOCKET NO. 14-C-096C3 (STMI01-94096)
Customer No. 30425

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : KUEI-WU HUANG ET AL
Serial No. : 09/517,987
Filed : March 3, 2000
For : METHOD OF FORMING PLANARIZED STRUCTURES IN
AN INTEGRATED CIRCUIT
Group No. : 2812
Examiner : R. Booth

BOX CPA
Commissioner for Patents
Washington, D. C. 20231

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

Sir:

The undersigned hereby certifies that the following documents:

1. Continued Prosecution Application (CPA) Request Transmittal;
2. Preliminary Amendment;
3. Check in the amount of \$740.00 for the CPA filing fee; and
4. A postcard receipt;

relating to the above application, were deposited as "Express Mail", Mailing Label No. EK742022111US with the United States Postal Service, addressed to Box CPA, Commissioner for Patents, Washington, D.C. 20231, on November 19, 2001.

Date: 11-19-01

Daniel E. Venglarik
Reg. No. 39,409

P.O. Drawer 800889
Dallas, Texas 75380
Phone: (214) 922-9221
Fax: (214) 969-7557
E-mail: dvenglarik@novakov.com